

# The finite congruence lattice problem

## 3. Some constructions

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## Partition lattices

Obviously, the **partition lattice**  $\text{Part}(k)$  (the lattice of all equivalence relations on a  $k$ -element set) is a congruence lattice.

It is also an interval in a subgroup lattice, for example

$$\text{Int}(S_1 \times S_2 \times S_4 \times \cdots \times S_{2^{k-1}}; S_{2^k-1}) \cong \text{Part}(k).$$

**Lemma.** Let  $S$  be a finite nonabelian simple group, and  $D = \{(s, s, \dots, s) \mid s \in S\}$  the diagonal subgroup in  $S^k$ . Then  $\text{Int}(D; S^k)$  is the dual of  $\text{Part}(k)$ .

Proof. Every subgroup  $D \leq X \leq S^k$  is a subdirect power of the form  $\{(s_{i(1)}^{\alpha_1}, s_{i(2)}^{\alpha_2}, \dots, s_{i(k)}^{\alpha_k}) \mid s_1, \dots, s_m \in S\}$ , where

$i : \{1, \dots, k\} \rightarrow \{1, \dots, m\}$  and  $\alpha_1, \dots, \alpha_k \in \text{Aut}(S)$ . Since  $D \leq X$ , all automorphisms can be taken to the identity.

For example  $X = \{(s_1, s_2, s_1, s_1, s_3, s_2) \mid s_1, s_2, s_3 \in S\}$ .

So  $X$  is determined by the kernel of the mapping  $i$ .

The larger the kernel of  $i$  is, the smaller is the corresponding subgroup.

## The dual lattice

**Theorem** (Kurzweil, 1985; Netter)

The dual of a finitely representable lattice is also finitely representable.

Proof. Let  $L \cong \text{Con}(U; F)$  for a unary algebra  $(U; F)$ . Take any finite nonabelian simple group  $S$ .

Take the permutation group (unary algebra)  $(S^U; D; S^U)$ , its congruence lattice is the dual of  $\text{Part}(U)$ .

The elements of  $S^U$  are functions  $U \rightarrow S$ , the elements of the diagonal subgroup  $D$  are the constant functions.

The operations  $f \in F$ ,  $f : U \rightarrow U$  give rise to operations on  $S^U$  simply by composition: if  $g : U \rightarrow S$ , then  $f(g) : U \rightarrow S$  is defined by  $(f(g))(u) = g(f(u))$ .

If we multiply  $g$  by a constant, then  $f(g)$  will be multiplied by the same constant, therefore  $f$  can be defined on  $S^U; D$  as well.

A congruence of  $(S^U; D; S^U)$  remains a congruence of the algebra  $(S^U; D; S^U \cup F)$  iff it corresponds to a partition invariant under all  $f \in F$ , that is, iff it is a congruence of  $(U; F)$ .

## Intervals and sublattices

If  $\vartheta \in \text{Con}(U; F)$ , then  $\text{Con}(U/\vartheta; F) \cong \text{Int}(\vartheta; 1)$ , so a filter in the congruence lattice is again a congruence lattice.

The theorem about the representation of the dual lattice then yields:

**Corollary.** Every interval in a finitely representable lattice is also finitely representable.

John Snow (2000) gave a direct proof.

Is every sublattice of a finitely representable lattice also finitely representable?

**Theorem** (Pudlák and Tůma, 1980)

Every finite lattice can be embedded into a suitable finite partition lattice.

Is every homomorphic image of a finitely representable lattice also finitely representable?

**Lemma** ( $P^5$ , 1980) Let  $e \in \text{Pol}_1(U; F)$  be an idempotent function ( $e^2 = e$ ), then the restriction is a lattice homomorphism of  $\text{Con}(U; F)$  onto  $\text{Con}(e(U); eF)$  (the **induced algebra**).

**Lemma.** The direct product of finitely representable lattices is also finitely representable.

Proof. Take the product of transformation monoids containing all constants, then

$$\text{Con}(U_1 \times U_2; F_1 \times F_2) = \text{Con}(U_1; F_1) \times \text{Con}(U_2; F_2).$$

Here  $(f_1, f_2)(u_1, u_2) = (f_1(u_1), f_2(u_2))$ .

## Snowmobile-1

**Lemma 1** (Snow, 2000) Let  $\alpha, \beta \in \text{Con}(U; F)$ . Then we can find additional operations  $F^*$  so that

$$\text{Con}(U; F \cup F^*) = \{\gamma \in \text{Con}(U; F) \mid \gamma \leq \alpha \text{ or } \gamma \geq \beta\}.$$

Proof. Let  $F^*$  consist of those unary operations whose kernel contains  $\alpha$  and the image lies in one  $\beta$ -class.

If  $\alpha \geq \gamma \in \text{Con}(U; F)$ ,  $f^* \in F^*$  and  $(u, v) \in \gamma \leq \alpha$ , then  $f^*(u) = f^*(v)$ , so  $f^*$  preserves  $\gamma$ .

If  $\beta \leq \gamma \in \text{Con}(U; F)$ ,  $f^* \in F^*$  (and  $(u, v) \in \gamma$ ), then  $f^*(u)$  and  $f^*(v)$  lie in the same  $\beta$ -class, so in the same  $\gamma$ -class, hence  $f^*$  preserves  $\gamma$ .

If  $\gamma \in \text{Con}(U; F)$  is such that  $\alpha \not\geq \gamma$  and  $\beta \not\leq \gamma$ , then choose  $(u, v) \in \gamma \setminus \alpha$  and  $(u', v') \in \beta \setminus \gamma$ . Let  $f^*$  take the value  $u'$  on the  $\alpha$ -class of  $u$  and  $v'$  everywhere else. Then  $f^* \in F^*$ ,  $(u, v) \in \gamma$ , but  $(f^*(u), f^*(v)) = (u', v') \notin \gamma$ .

## Snowmobile-2

**Lemma 2 (Snow)** Let  $\beta_1 \leq \alpha_1$ ,  $\beta_2 \leq \alpha_2$  be congruences of  $(U; F)$  such that  $\beta_1 \vee \beta_2 = 1$  and  $\alpha_1 \wedge \alpha_2 = 0$ . Then we can find additional operations  $F^*$  so that

$$\text{Con}(U; F \cup F^*) = \{0\} \cup \text{Int}(\beta_1; \alpha_1) \cup \text{Int}(\beta_2; \alpha_2) \cup \{1\}.$$

Proof. Take the additional operations provided by Lemma 1 both for the pair  $\beta_1, \alpha_2$  and for  $\beta_2, \alpha_1$ . Then the congruences that remain are those which lie

(above  $\beta_1$  or below  $\alpha_2$ ) and (above  $\beta_2$  or below  $\alpha_1$ ),

that is

$$\gamma \geq \beta_1 \vee \beta_2 \text{ or } \beta_1 \leq \gamma \leq \alpha_1 \text{ or } \beta_2 \leq \gamma \leq \alpha_2 \text{ or } \gamma \leq \alpha_1 \wedge \alpha_2.$$

## More Snow (1)

**Theorem** (Snow, 2000) The ordinal sum and the parallel sum of two finitely representable lattices are also finitely representable.

Proof. The **ordinal sum** of  $L_1$  and  $L_2$  is their disjoint union, where every element of  $L_1$  is smaller than each element of  $L_2$ . A somewhat more natural version of the ordinal sum of two lattices is obtained from the usual ordinal sum if we identify the largest element of  $L_1$  with the smallest element of  $L_2$ .

This construct will be denoted by  $L_1 + L_2$ .

(A noncommutative—but associative—addition!)

The usual ordinal sum of  $L_1$  and  $L_2$  is just  $L_1 + \mathbf{2} + L_2$ .

Now take a finite algebra with congruence lattice  $L_1 \times L_2$  and use Snowmobile-1 with  $\alpha = \beta = (1, 0)$ . Then we obtain a finite algebra with congruence lattice  $L_1 + L_2$ .

## More Snow (2)

The **parallel sum** of  $L_1$  and  $L_2$  is the disjoint union

$$\{0\} \cup L_1 \cup L_2 \cup \{1\},$$

where the elements of  $L_1$  and  $L_2$  are pairwise incomparable.

First we prove the claim when  $L_2$  is the 1-element lattice, and we will denote the parallel sum of  $L$  and the 1-element lattice by  $L^+$ .

(It has three additional elements:  $0 < m < 1$ .)

Let  $\text{Con}(U; F) \cong L$ . Take the algebra  $(U \times \{1, 2\}; F)$ , where  $f(u, i) = (f(u), i)$ . Use Snowmobile-2 with the following congruences:  $\alpha_1$  has two classes  $U \times \{1\}$  and  $U \times \{2\}$ ,  $\beta_1$  has one nonsingleton class  $U \times \{1\}$ ,  $\alpha_2 = \beta_2$  has 2-element classes  $\{(u, 1), (u, 2)\}$ .

So we obtain an algebra with congruence lattice isomorphic to  $L^+$ .

In general, the parallel sum of  $L_1$  and  $L_2$  can be obtained using

Snowmobile-2 in the congruence lattice  $L_1^+ \times L_2^+$  with

$\alpha_1 = (1_1, m)$ ,  $\beta_1 = (0_1, m)$ ,  $\alpha_2 = (m, 1_2)$ ,  $\beta_2 = (m, 0_2)$ .

## Some classes of finitely representable lattices

**Definition** A finite(ly generated) lattice  $L$  is **lower bounded** if there exists an epimorphism  $\varphi : FL(X) \rightarrow L$  such that  $\forall a \in L : \{w \in FL(X) \mid \varphi(w) \geq a\}$  has a least element.

**Theorem.** A finite lattice  $L$  is lower bounded iff  $L$  and  $\text{Con}(L)$  has the same number of join irreducible elements.

**Theorem** (Pudlák and Tůma, 1976)

The finite lower bounded lattices are finitely representable.  
(They called these lattices **finitely fermentable**.)

**Theorem** (Snow, 2000) Every finite lattice which contains no three element antichains is finitely representable.

**Theorem** (Snow, 2003) Every finite lattice in the variety generated by  $M_3$  is finitely representable.

## Hereditary congruence lattices

The idea of Snow's proof is this:

If  $L$  is a finite lattice in the variety generated by  $M_3$ , then  $L$  is a 0–1-sublattice of  $M_3^k$  for some  $k$ .  $M_3 \cong \text{Part}(3)$ , so  $L$  can be considered as a 0–1-sublattice of  $\text{Part}(3)^k \subset \text{Part}(3^k)$ . He then proves that every 0–1-sublattice of  $\text{Part}(3)^k$  is the congruence lattice of some algebra on the  $3^k$ -element set.

**Definition** (Hegedűs and P<sup>3</sup>, 2005) A 0–1-sublattice  $L$  of all equivalence relations on a finite set  $U$  is called a **hereditary congruence lattice** if every 0–1-sublattice  $L' \subseteq L$  is the congruence lattice of a suitable algebra on  $U$ . Furthermore,  $L$  is called **power-hereditary** if  $L^k$  as a lattice of equivalence relations on  $U^k$  is a hereditary congruence lattice for every  $k \geq 1$ .

In this language Snow's result says that the lattice of all equivalences on the 3-element set is power-hereditary.

# Snakes

$\text{Con}(Z_2 \times Z_2)$  ( $\cong M_3$ ) is also power-hereditary (Hegedűs and P<sup>3</sup>), but there are non-power-hereditary representations of  $M_3$  as well (P<sup>3</sup>, 2006).

**Problem.** Is there a hereditary congruence lattice isomorphic to  $M_4$ ? That is  $\text{Con}(U; F) \cong M_4$  and for every nontrivial congruence  $\vartheta_i$  ( $i = 1, \dots, 4$ ) there is a unary function  $f_i^*$  such that  $\text{Con}(U, F \cup \{f_i^*\}) = \text{Con}(U; F) \setminus \{\vartheta_i\}$ .

A **snake** of length  $n \geq 2$  is a modular lattice glued together from  $n - 1$   $M_3$ 's.

**Theorem** (Hegedűs and P<sup>3</sup>, 2005) Every finite lattice in the variety generated by all snakes is finitely representable.

We construct operator groups  $(A; +, F)$ , where  $(A; +)$  is an elementary abelian 2-group and  $F$  is a suitable ring of endomorphisms of  $(A; +)$ .