

On nondeterministic two-way transducers

Bruno Guillon

Dipartimento di Informatica, Università degli Studi di Milano

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mainly, joint work with Christian Choffrut

1. Introduction
 - Word transductions
 - Automata and transducers
2. Algebraic descriptions of transduction classes
 - Rational operations
 - Hadamard operations
 - Mirror operation
3. Unary cases
 - Commutative outputs
 - Both alphabets unary
 - Only one alphabet unary

Relation \equiv *set of tuples*

Omnipresent in computer science

- ▶ Graph structures
- ▶ Data bases
- ▶ Semantics of programs
- ▶ Rewriting systems
- ▶ ...

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Transduction \equiv a binary relation

in which an **input** and an **output** are implicitly understood

This talk:

- ▶ binary relations on words

$$R \subseteq \Sigma^* \times \Delta^*$$

- ▶ computed by some kind of transducers

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$$f_R : \begin{array}{l} \Sigma^* \rightarrow 2^{\Delta^*} \\ u \mapsto \{v \mid (u, v) \in R\} \end{array}$$

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[Lombardy's talk at NCMA'15 in Porto]

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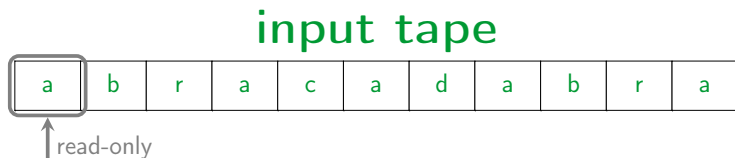
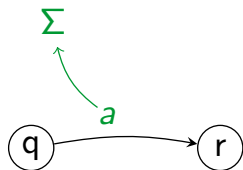
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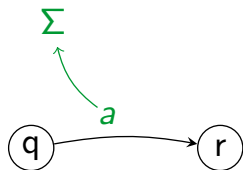
Which issues arise
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How can we handle them, in some special cases?

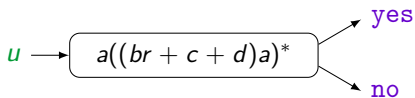
Automata with outputs: 1-way transducers



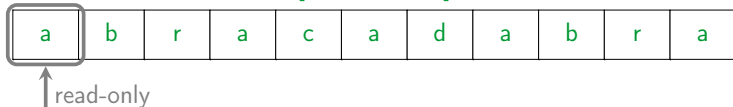
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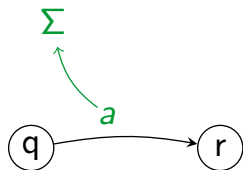
■ Example:



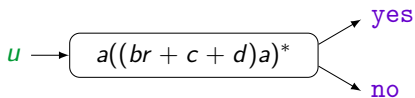
input tape



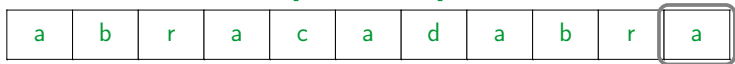
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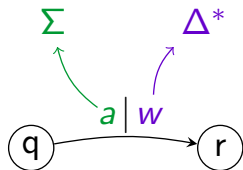


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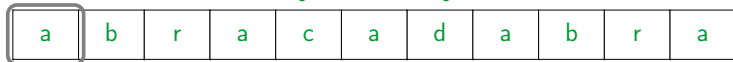


yes

Automata with outputs: 1-way transducers

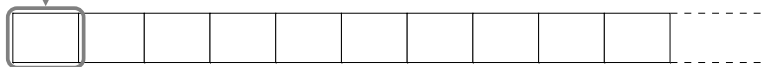


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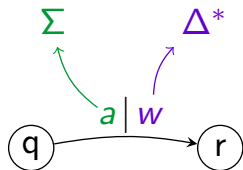
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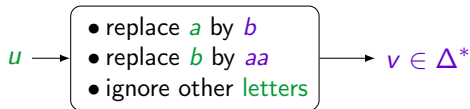


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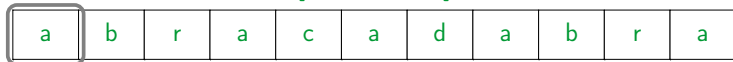
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Example:

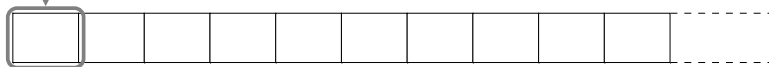


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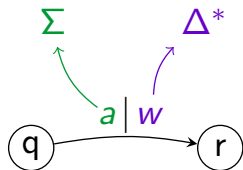
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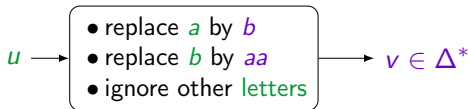


output tape

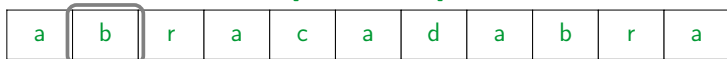
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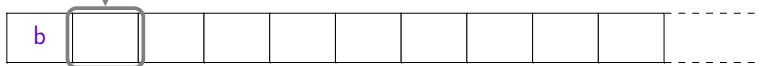


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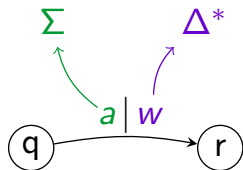
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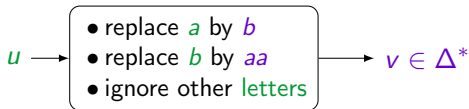


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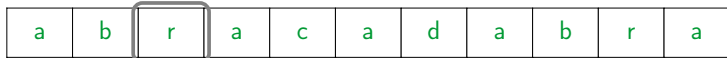
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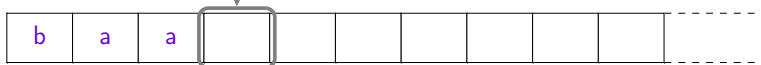


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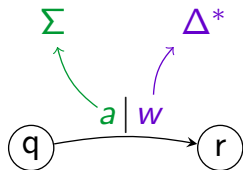
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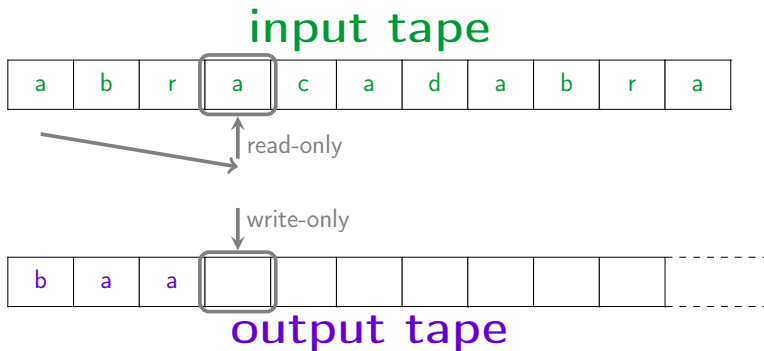
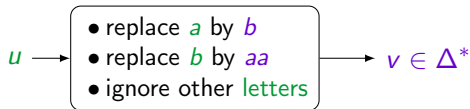


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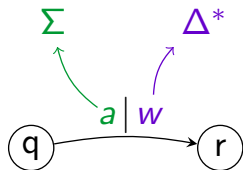
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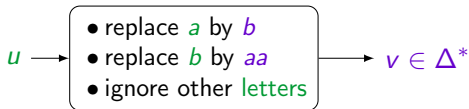
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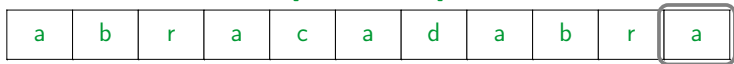
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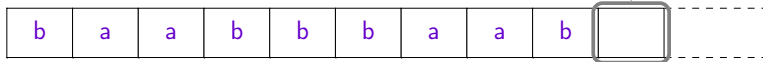
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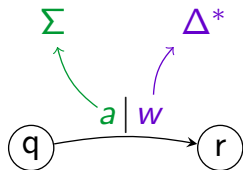


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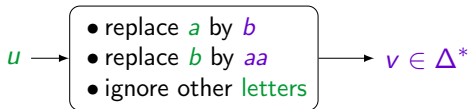


output tape

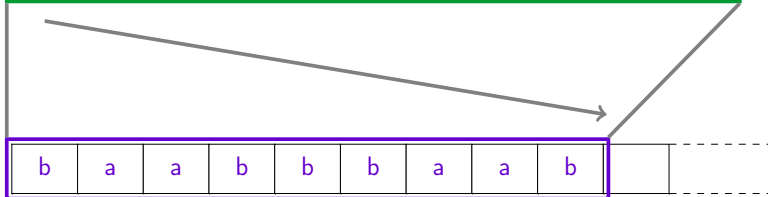
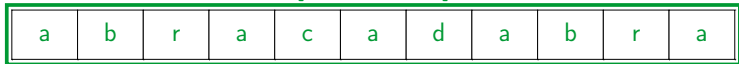
Automata with outputs: 1-way transducers



Example:



input tape



output tape

Examples

with $\Sigma = \Delta$ fixed

- ▶ IDENTITY : $u \mapsto u$
- ▶ ERASE : $u \mapsto \varepsilon$
- ▶ L-ROTATE : $\sigma u \mapsto u\sigma$, for each $\sigma \in \Sigma = \Delta$
- ▶ R-ROTATE : $u\sigma \mapsto \sigma u$, for each $\sigma \in \Sigma = \Delta$
- ▶ SUBWORD : $\{(u, v) \mid v \text{ is a not-necessarily connected subword of } u\}$

Nondeterminism versus determinism

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- ▶ sequential functions \subset rational functions
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- ▶ [Griffith'68] equivalence, inclusion, intersection emptiness. . .
are undecidable problems for nondeterministic transducers

Two-wayness

A transducer is defined by:

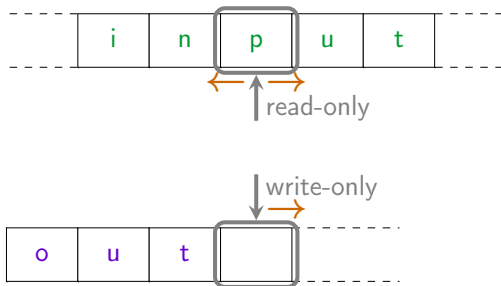
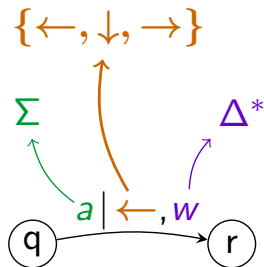
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Two-way transducers:

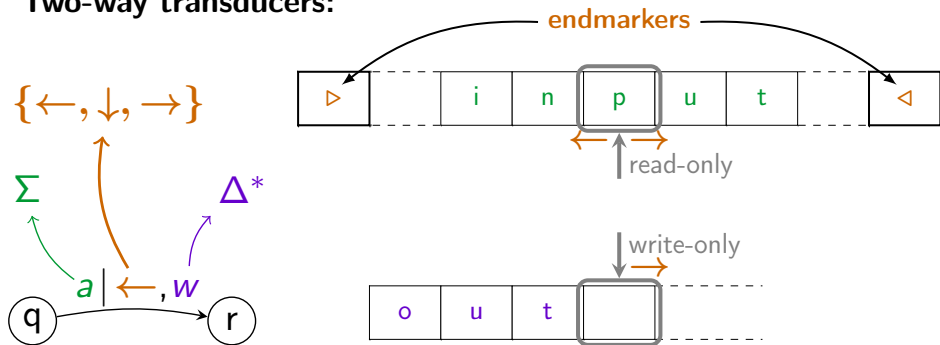


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Two-way transducers:



Two-wayness extends expressiveness of transducers. . .

▶ SQUARE : $u \mapsto uu$

▶ MIRROR : $u \mapsto \bar{u}$

(\bar{u} denotes the reverse of u)

▶ SORT : $u \mapsto a^{|u|_a} b^{|u|_b} \dots z^{|u|_z}$

▶ POWERS : $\{(u, u^k) \mid k \in \mathbb{N}\}$

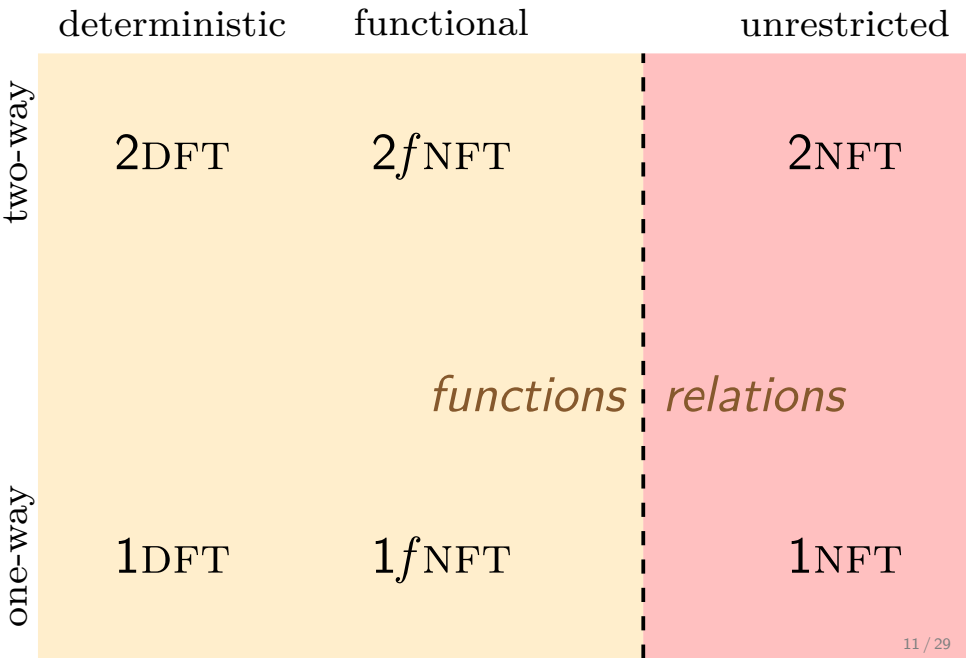
The class of **functions** realized by two-way transducers is **robust**

- ▶ closure under composition [Chytil&Jákl'77, Dartois *et al.*'17]
- ▶ decidable equivalence [Gurari'80]
- ▶ alternative characterizations:
 - ▶ reversible = deterministic = functional [Dartois *et al.*'17, Engelfriet&Hoo­geboom'01]
 - ▶ MSO word transductions [Engelfriet&Hoo­geboom'01]
 - ▶ copyless register automata [Alur&Černý'10]
 - ▶ “regular combinators” [Alur *et al.*'14, Baudru&Reynier'18, Dave *et al.*'18]

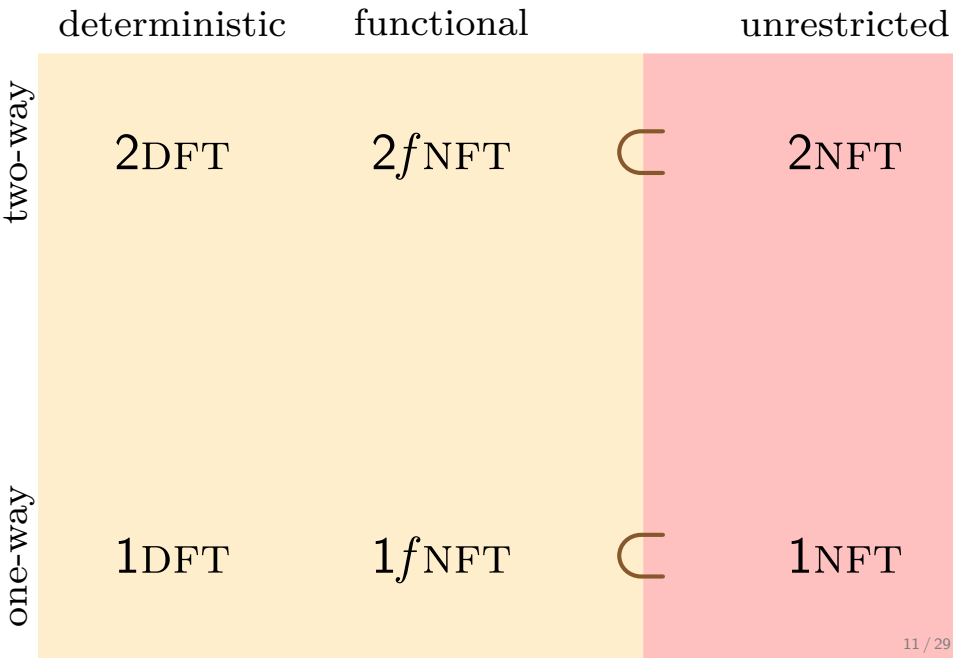
Expressiveness of transducers

	deterministic	functional	unrestricted
two-way	2DFT	$2f$ NFT	2NFT
one-way	1DFT	$1f$ NFT	1NFT

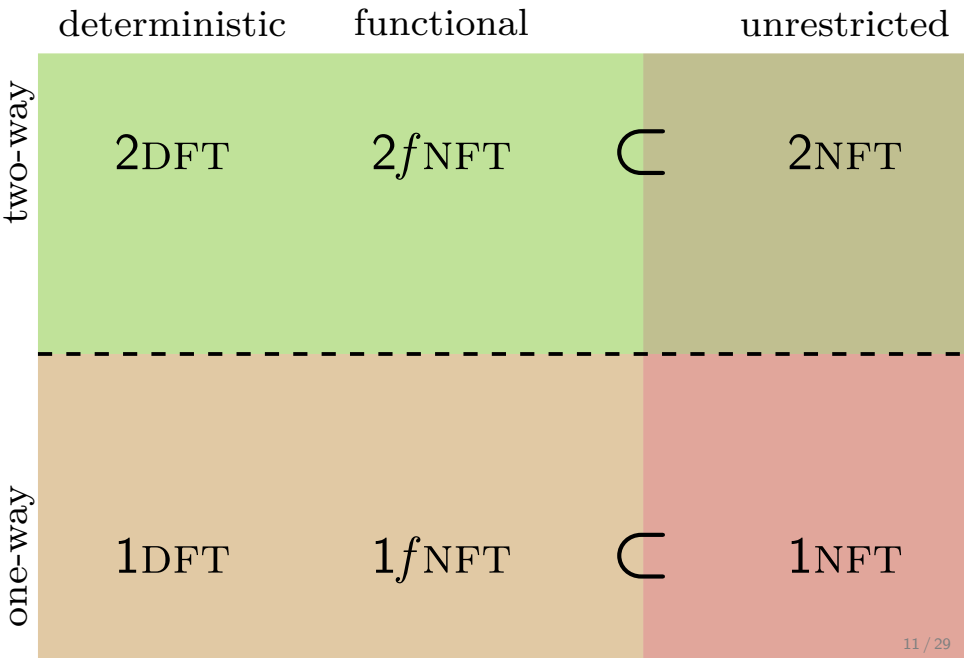
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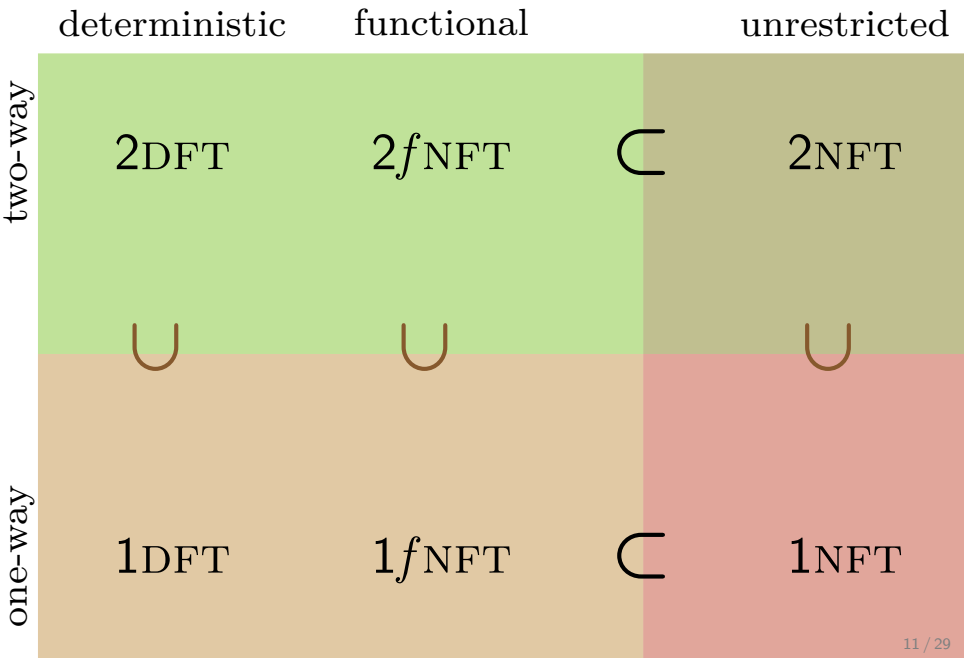
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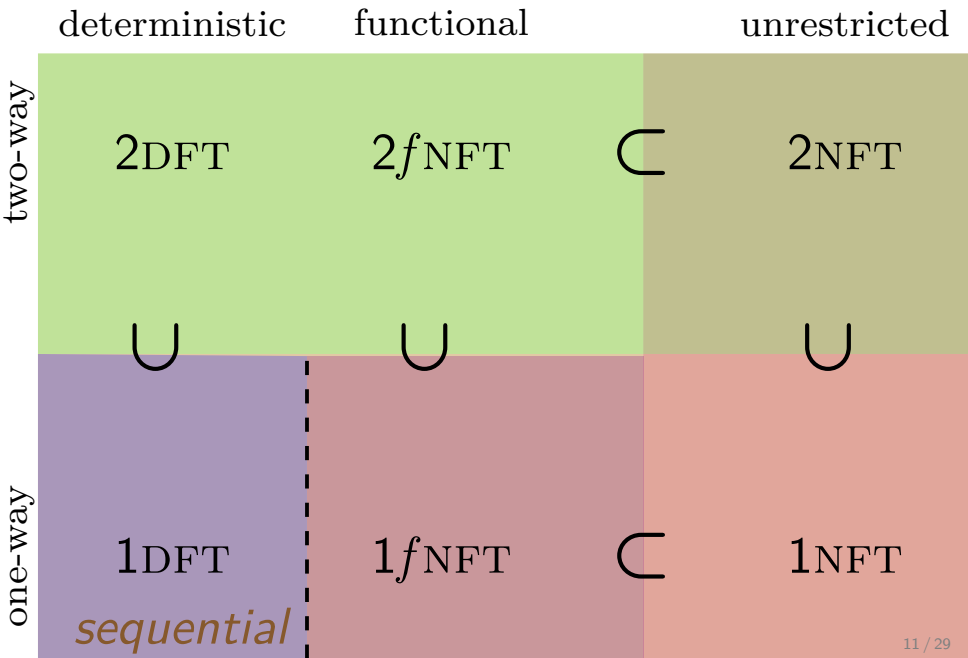
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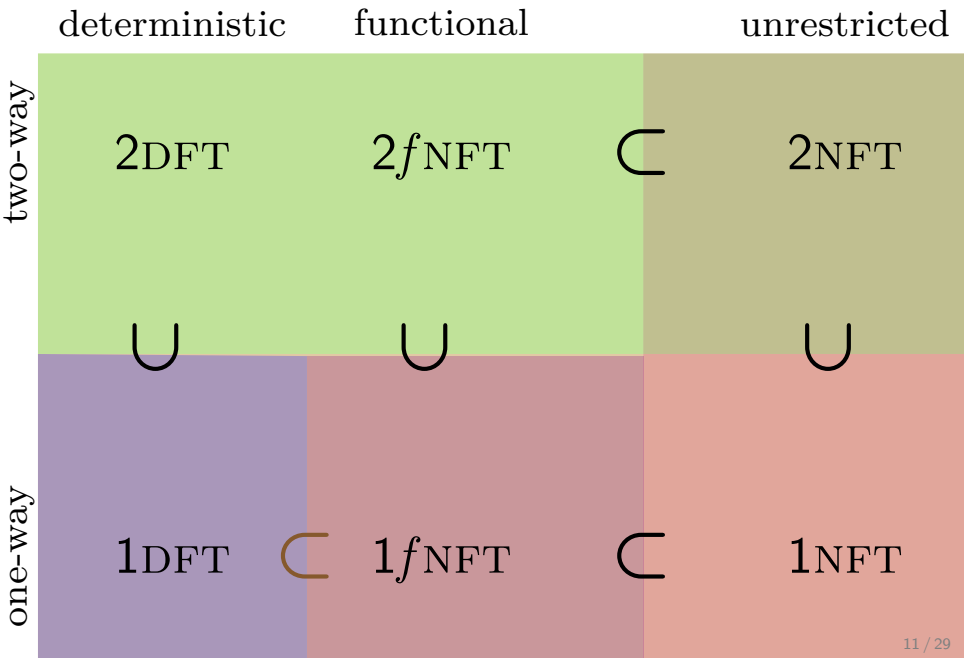
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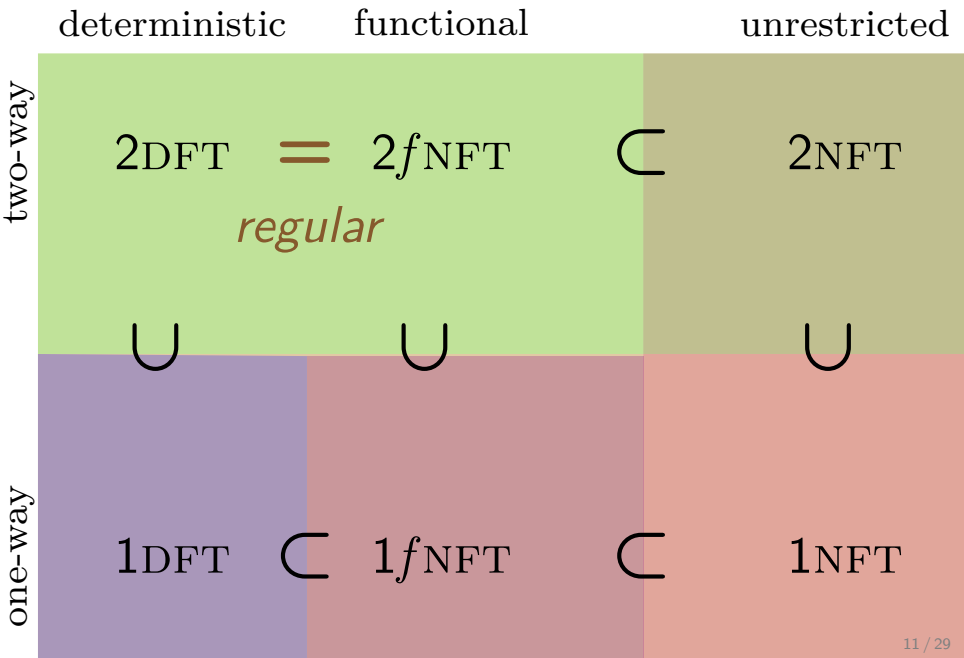
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Expressiveness of transducers



What are the transductions
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2. Algebraic descriptions of transduction classes

Rational operations

- ▶ set union

$$R_1 \cup R_2$$

- ▶ componentwise concatenation

$$R_1 \cdot R_2 = \{(u_1 u_2, v_1 v_2) \mid (u_1, v_1) \in R_1 \text{ and } (u_2, v_2) \in R_2\}$$

- ▶ Kleene star

$$R^* = \{(u_1 \cdots u_k, v_1 \cdots v_k) \mid \forall i, (u_i, v_i) \in R\}$$

Rational operations

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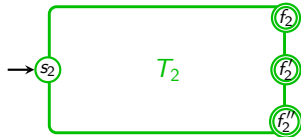
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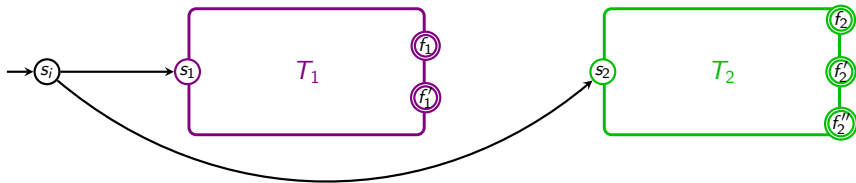
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- ▶ simulate T_1 or T_2

Rational operations

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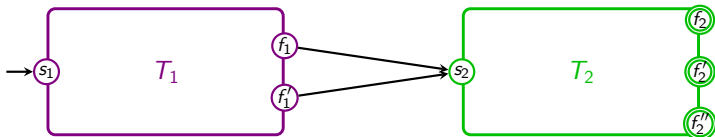
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- ▶ simulate T_1 on some prefix
- ▶ simulate T_2 on corresp. suffix

e.g., PREFIX = IDENTITY · ERASE

Rational operations

- ▶ set union

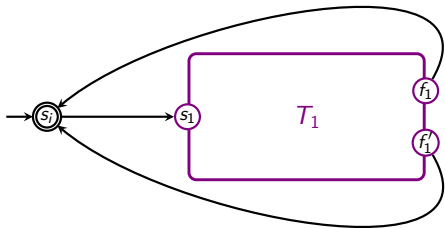
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$$R^* = \{(u_1 \cdots u_k, v_1 \cdots v_k) \mid \forall i, (u_i, v_i) \in R\}$$



- ▶ repeat
simulate T_1 or accept

e.g., $\text{SUBWORD} = (\text{IDENTITY} \cup \text{ERASE})^*$

Rational operations

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Definition (RAT) The class of *rational relations* is the smallest class

- ▶ including finite relations
- ▶ closed under rational operations

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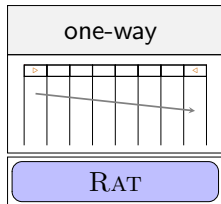
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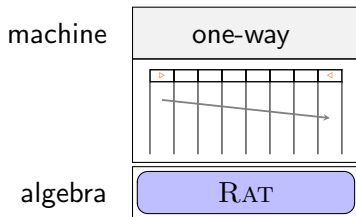
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Theorem: [Elgot & Mezei'65]

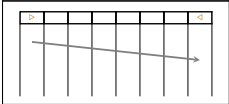
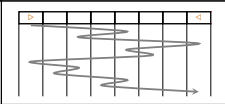
$$1_{\text{NFT}} = \text{rational}$$



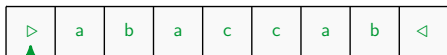
Which operations capture behaviors of 2NFTs?



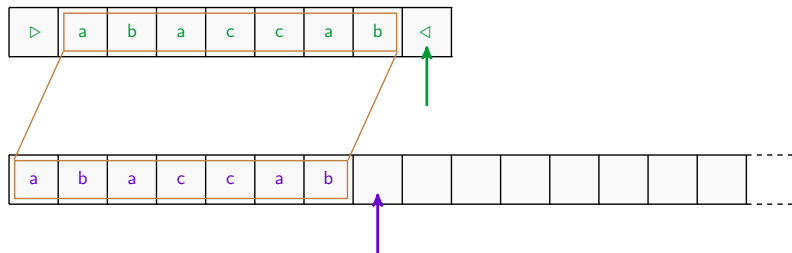
Which operations capture behaviors of 2NFTs?

machine		
algebra	RAT	?

Abilities of two-way transducers

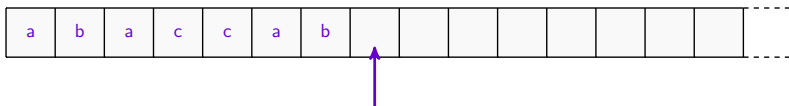
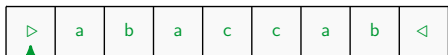


Abilities of two-way transducers



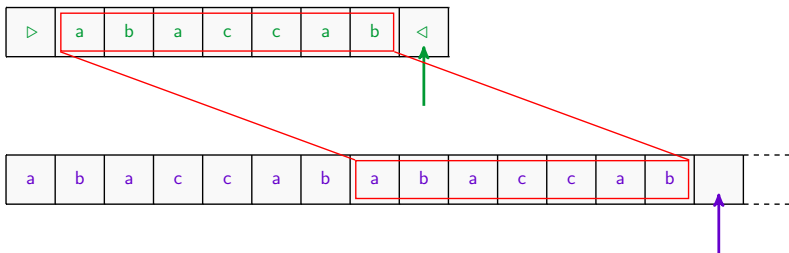
- copy the input word

Abilities of two-way transducers



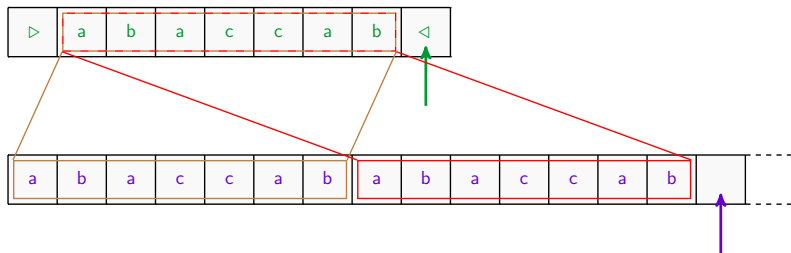
- ▶ copy the input word
- ▶ rewind the input tape

Abilities of two-way transducers



- ▶ copy the input word
- ▶ rewind the input tape
- ▶ append a copy of the input word

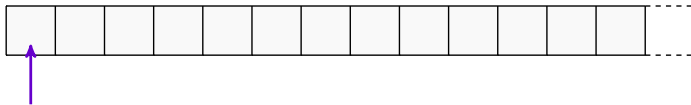
Abilities of two-way transducers



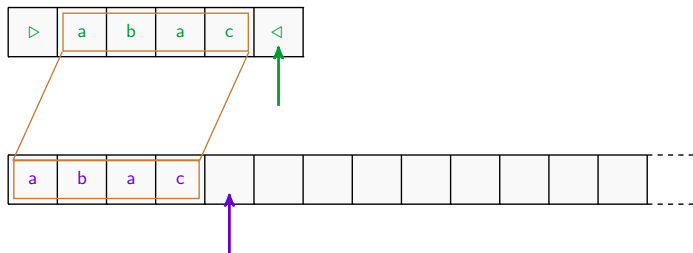
- ▶ copy the input word
- ▶ rewind the input tape
- ▶ append a copy of the input word

Abilities of two-way transducers

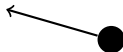
Abilities of two-way transducers



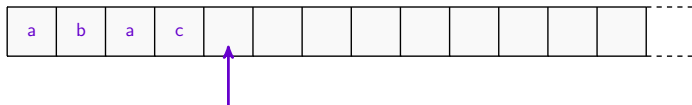
Abilities of two-way transducers



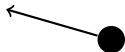
copy the input word



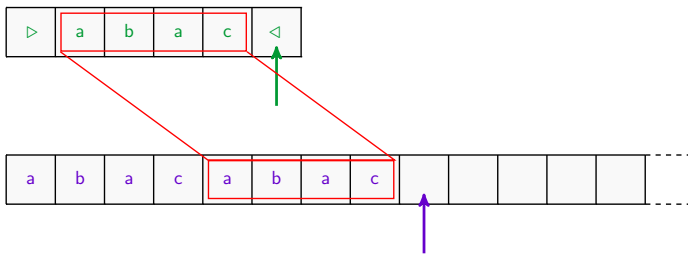
Abilities of two-way transducers



copy the input word \longrightarrow rewind the input tape



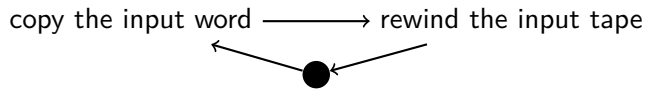
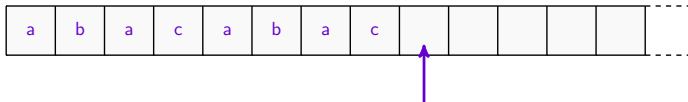
Abilities of two-way transducers



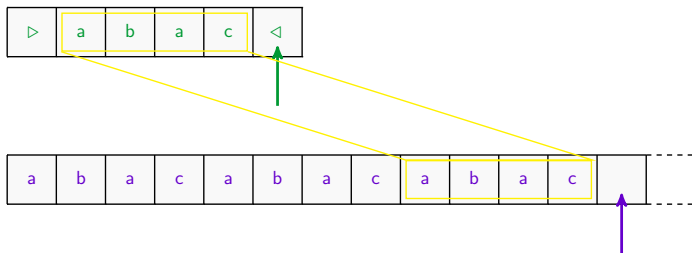
copy the input word → rewind the input tape

A black dot representing a state is shown below the text. Two arrows originate from this dot: one points to the left towards the text 'copy the input word', and the other points to the right towards the text 'rewind the input tape'.

Abilities of two-way transducers



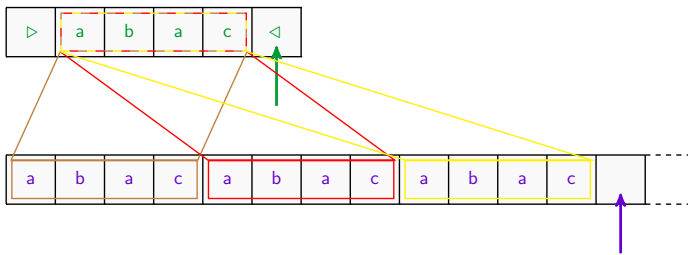
Abilities of two-way transducers



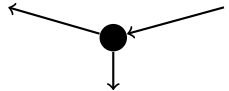
copy the input word → rewind the input tape



Abilities of two-way transducers



copy the input word → rewind the input tape



accept and halt with nondeterminism

SQUARE, POWERS \notin RAT

Hadamard operations

- ▶ set union

$$R_1 \cup R_2$$

- ▶ Hadamard product

$$R_1 \odot R_2 = \{(u, v_1 v_2) \mid (u, v_1) \in R_1 \text{ and } (u, v_2) \in R_2\}$$

- ▶ Hadamard star

$$R^{\otimes} = \{(u, v_1 \cdots v_k) \mid \forall i, (u, v_i) \in R\}$$

Hadamard operations

- ▶ set union

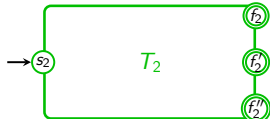
$$R_1 \cup R_2$$

- ▶ Hadamard product

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Hadamard operations

- ▶ set union

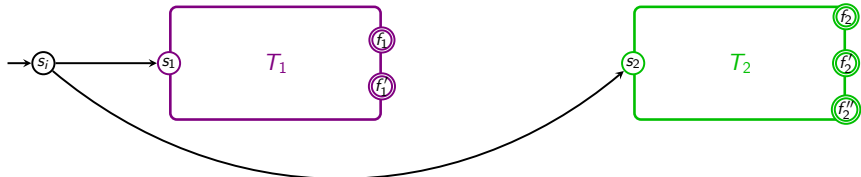
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- ▶ Hadamard star

$$R^{\oplus} = \{(u, v_1 \cdots v_k) \mid \forall i, (u, v_i) \in R\}$$



- ▶ simulate T_1 or T_2

Hadamard operations

- ▶ set union

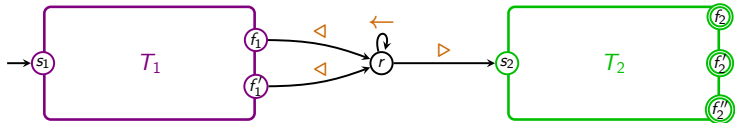
$$R_1 \cup R_2$$

- ▶ Hadamard product

$$R_1 \odot R_2 = \{(u, v_1 v_2) \mid (u, v_1) \in R_1 \text{ and } (u, v_2) \in R_2\}$$

- ▶ Hadamard star

$$R^{\otimes} = \{(u, v_1 \cdots v_k) \mid \forall i, (u, v_i) \in R\}$$



- ▶ simulate T_1

- ▶ rewind the **input** tape

- ▶ simulate T_2

e.g., $\text{SQUARE} = \text{IDENTITY} \odot \text{IDENTITY}$

Hadamard operations

- ▶ set union

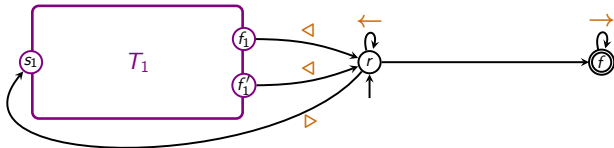
$$R_1 \cup R_2$$

- ▶ Hadamard product

$$R_1 \odot R_2 = \{(u, v_1 v_2) \mid (u, v_1) \in R_1 \text{ and } (u, v_2) \in R_2\}$$

- ▶ Hadamard star

$$R^{\oplus} = \{(u, v_1 \cdots v_k) \mid \forall i, (u, v_i) \in R\}$$



- ▶ repeat

- ▶ simulate T_1

- ▶ rewind the **input** tape

- ▶ or accept nondeterministically

$$\text{e.g., POWERS} = \text{IDENTITY}^{\oplus}$$

Hadamard operations

- ▶ set union

$$R_1 \cup R_2$$

- ▶ Hadamard product

$$R_1 \odot R_2 = \{(u, v_1 v_2) \mid (u, v_1) \in R_1 \text{ and } (u, v_2) \in R_2\}$$

- ▶ Hadamard star

$$R^{\oplus} = \{(u, v_1 \cdots v_k) \mid \forall i, (u, v_i) \in R\}$$

Definition (HAD) The class of *Hadamard relations* is the smallest class

- ▶ including rational relations
- ▶ closed under Hadamard operations

Hadamard operations

- ▶ set union

$$R_1 \cup R_2$$

- ▶ Hadamard product

$$R_1 \odot R_2 = \{(u, v_1 v_2) \mid (u, v_1) \in R_1 \text{ and } (u, v_2) \in R_2\}$$

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$$\text{RAT} \subset \text{HAD} \subset \text{2NFT}$$

Hadamard operations

- ▶ set union

$$R_1 \cup R_2$$

- ▶ Hadamard product

$$R_1 \odot R_2 = \{(u, v_1 v_2) \mid (u, v_1) \in R_1 \text{ and } (u, v_2) \in R_2\}$$

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Hadamard operations

- ▶ set union

$$R_1 \cup R_2$$

- ▶ Hadamard product

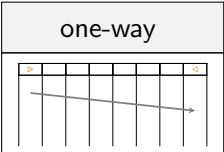

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machine			
algebra	RAT	HAD	?

Hadamard operations

- ▶ set union

$$R_1 \cup R_2$$

- ▶ Hadamard product

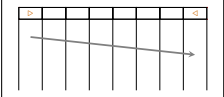
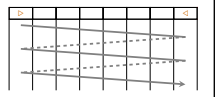
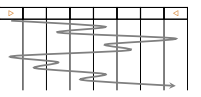
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machine	one-way	rotating	two-way
			
algebra	RAT	HAD	?

Hadamard operations

- ▶ set union

$$R_1 \cup R_2$$

- ▶ Hadamard product

$$R_1 \odot R_2 = \{(u, v_1 v_2) \mid (u, v_1) \in R_1 \text{ and } (u, v_2) \in R_2\}$$

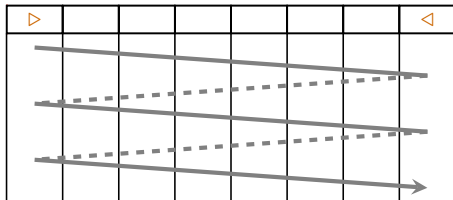
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rotating



Hadamard operations

- ▶ set union

$$R_1 \cup R_2$$

- ▶ Hadamard product

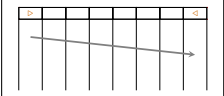
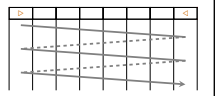
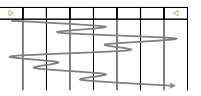
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- ▶ Hadamard star

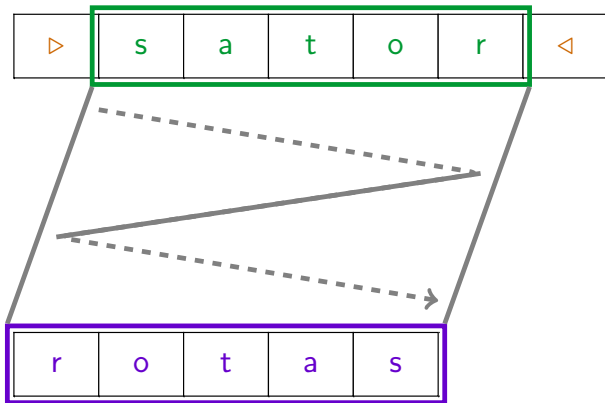
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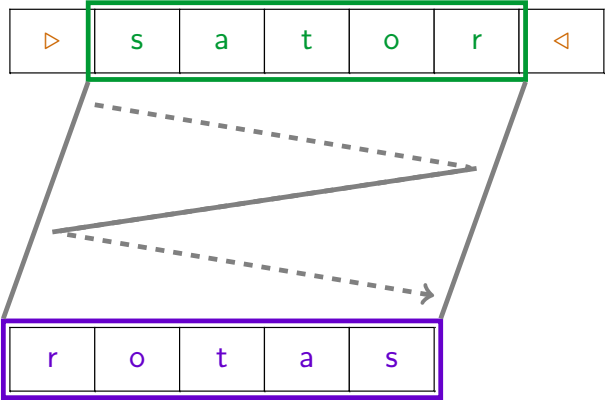
- ▶ including rational relations
- ▶ closed under Hadamard operations

machine	one-way	rotating	two-way
			
algebra	RAT	HAD	?

Mirror



Mirror



MIRROR \notin HAD

► mirror

$$\bar{R} = \{(\bar{u}, v) \mid (u, v) \in R\}$$

Mirror operations

► mirror

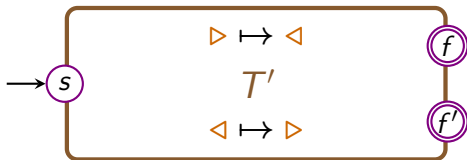
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Mirror operations

► mirror

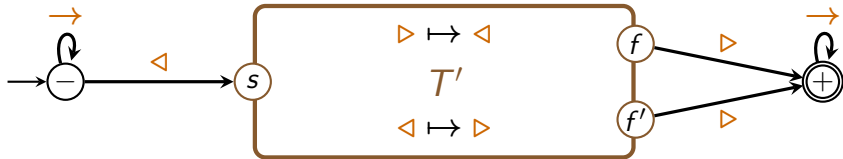
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Mirror operations

► mirror

$$\bar{R} = \{(\bar{u}, v) \mid (u, v) \in R\}$$



Mirror operations

- ▶ mirror

$$\bar{R} = \{(\bar{u}, v) \mid (u, v) \in R\}$$

Definition (MHAD) class of *mirror-Hadamard* relations: smallest class

- ▶ including rational relations
- ▶ closed under Hadamard operations and mirror

Mirror operations

- ▶ set union $R_1 \cup R_2$
- ▶ Hadamard product $R_1 \odot R_2 = \{(u, v_1 v_2) \mid (u, v_1) \in R_1 \text{ and } (u, v_2) \in R_2\}$
- ▶ Hadamard star $R^{\otimes} = \{(u, v_1 \cdots v_k) \mid \forall i, (u, v_i) \in R\}$
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RAT

\subset

HAD

\subset

MHAD

\subset 2NFT

Mirror operations

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RAT \subset HAD \subset MHAD \subset 2NFT

Mirror operations

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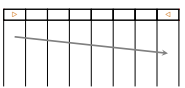
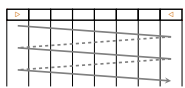
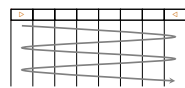
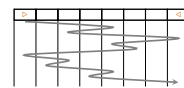
machine	one-way	rotating		two-way
algebra	RAT	HAD	MHAD	?

Mirror operations

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Definition (MHAD) class of *mirror-Hadamard* relations: smallest class

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machine	one-way	rotating	sweeping	two-way
				
algebra	RAT	HAD	MHAD	?

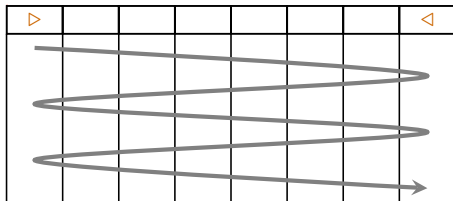
Mirror operations

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sweeping

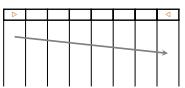
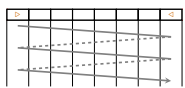
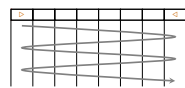
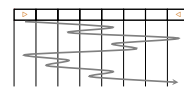


Mirror operations

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machine	one-way	rotating	sweeping	two-way
				
algebra	RAT	HAD	MHAD	?

transducer	one-way	rotating	sweeping	two-way
general	RAT	HAD	MHAD	?

transducer	one-way	rotating	sweeping	two-way
general	RAT	HAD	MHAD	?

Study of particular cases

transducer	one-way	rotating	sweeping	two-way
general	RAT	HAD	MHAD	?

Study of particular cases

3. Unary cases

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Study of particular cases

3. Unary cases

$$\#\Sigma = 1$$

and/or

$$\#\Delta = 1$$

Contribution of mirror

Proposition: If $\#\Sigma = 1$ or $\#\Delta = 1$ then $\text{HAD} = \text{MHAD}$

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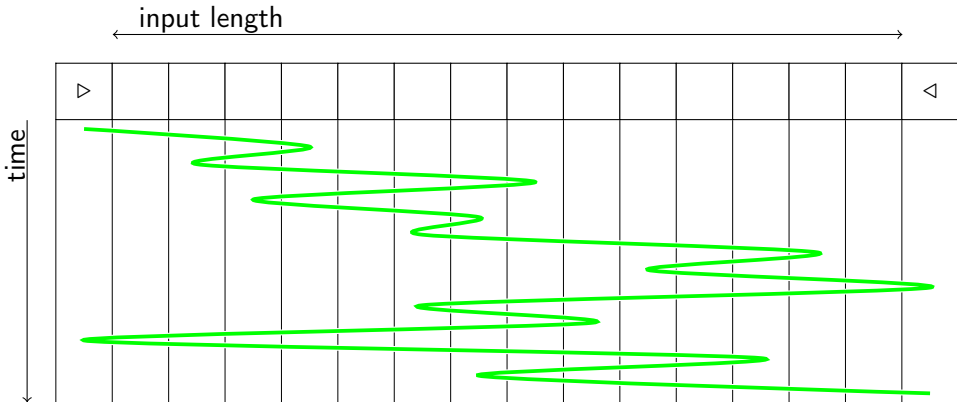
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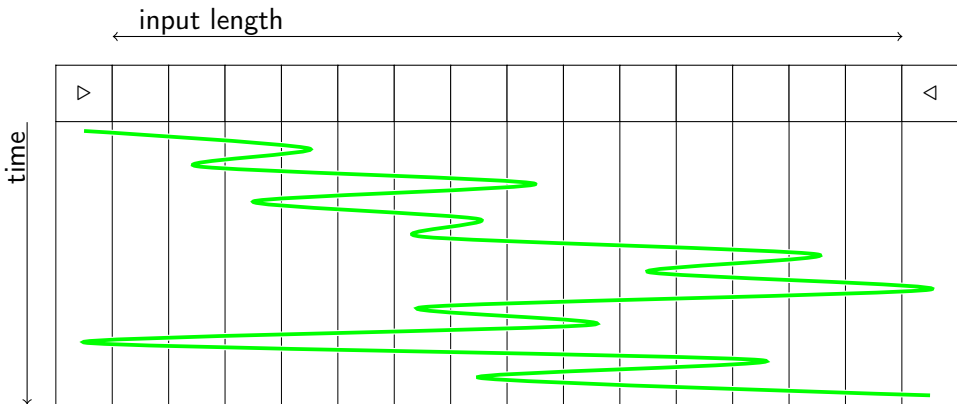
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From 2-way to 1-way automata

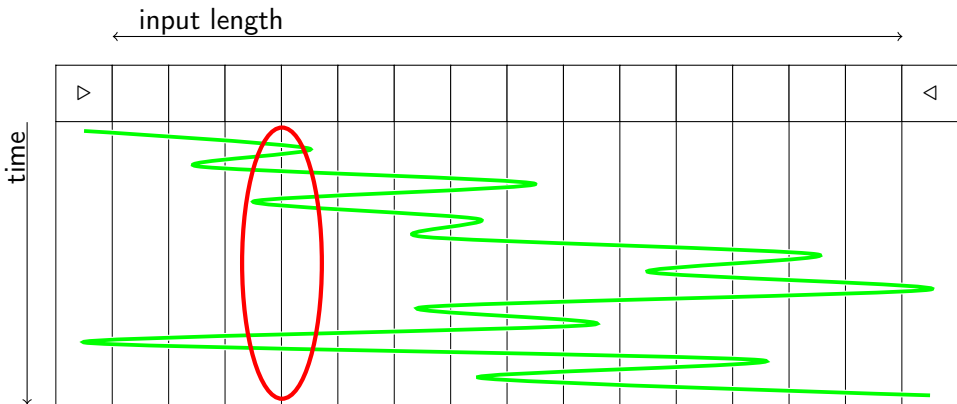


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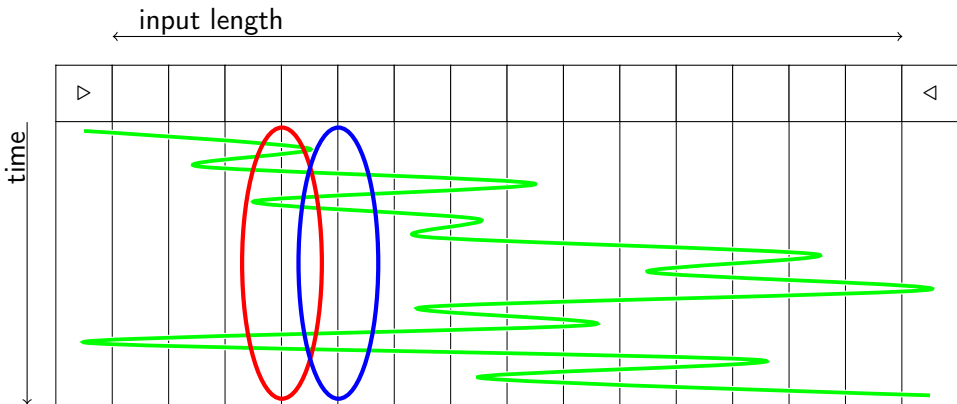
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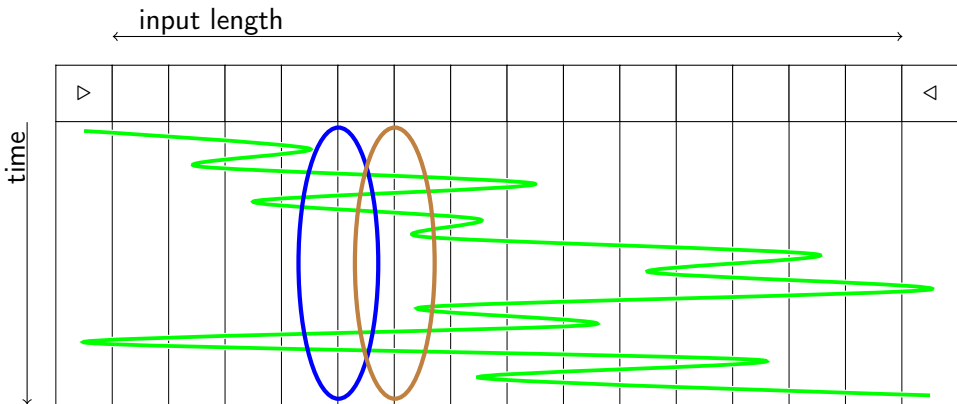
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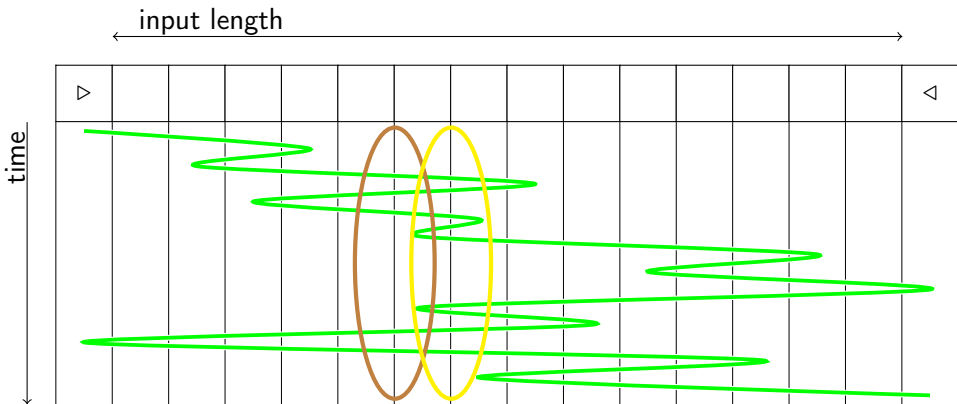
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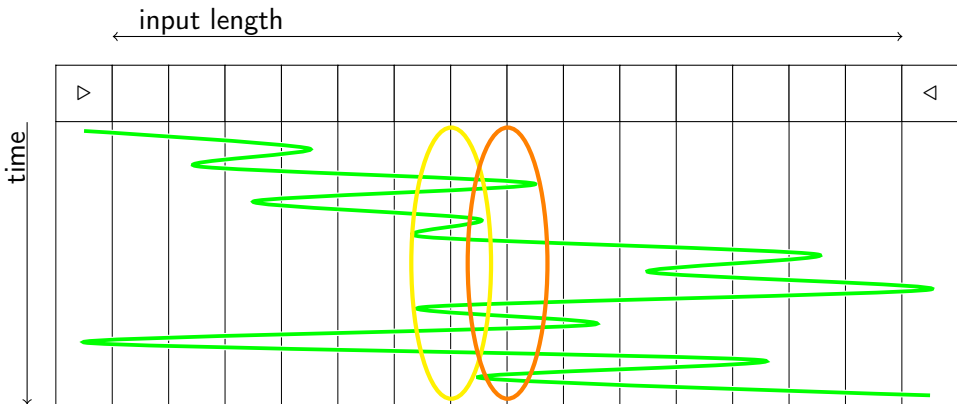
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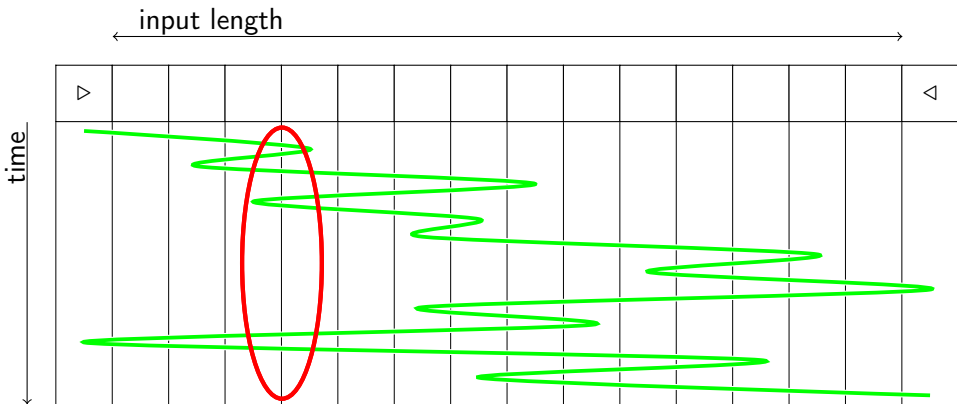
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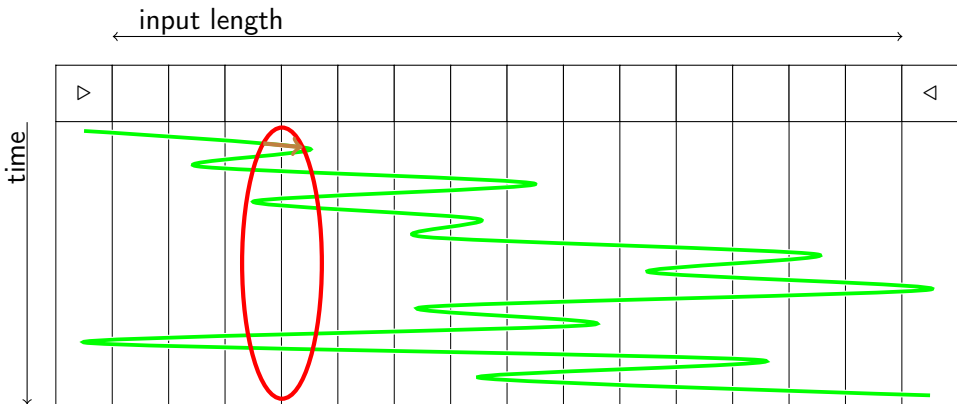
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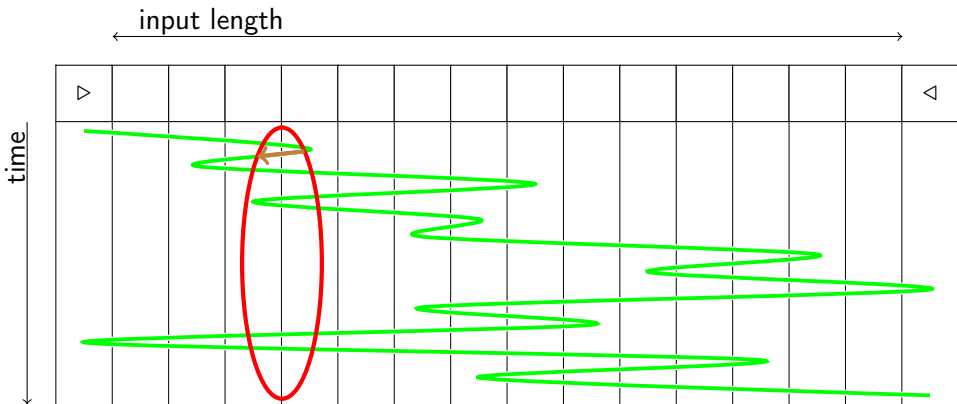
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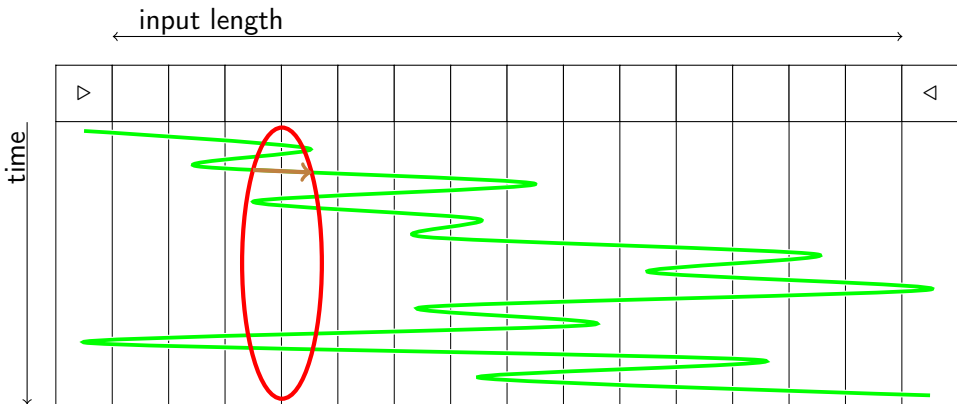
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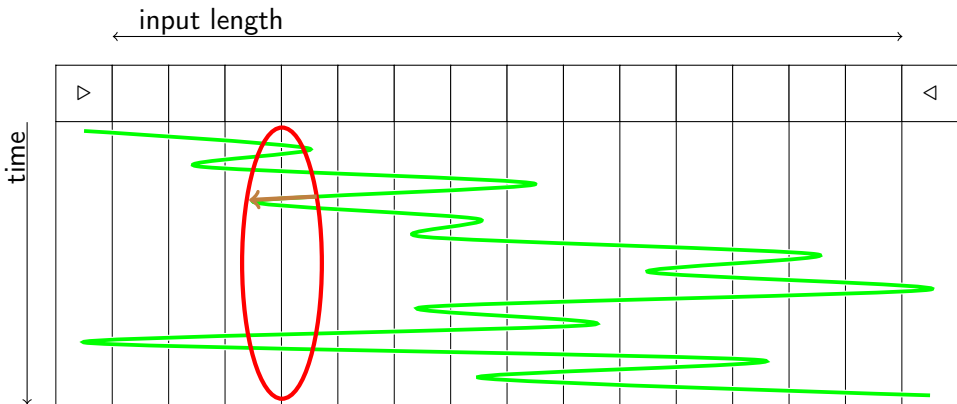
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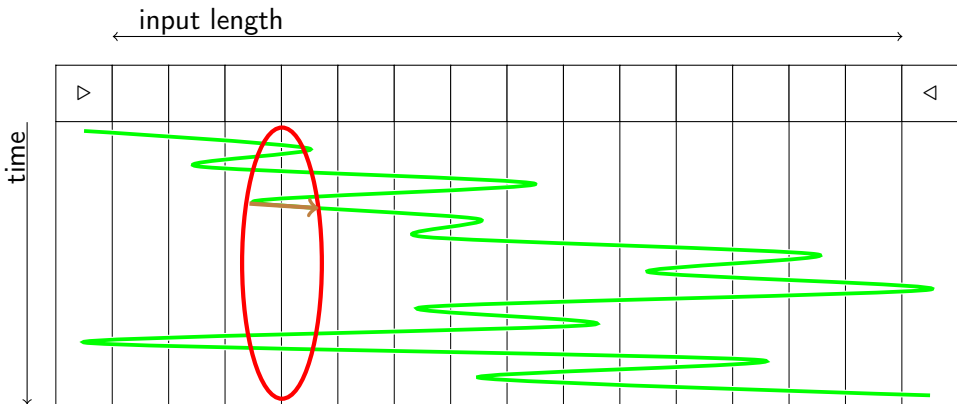
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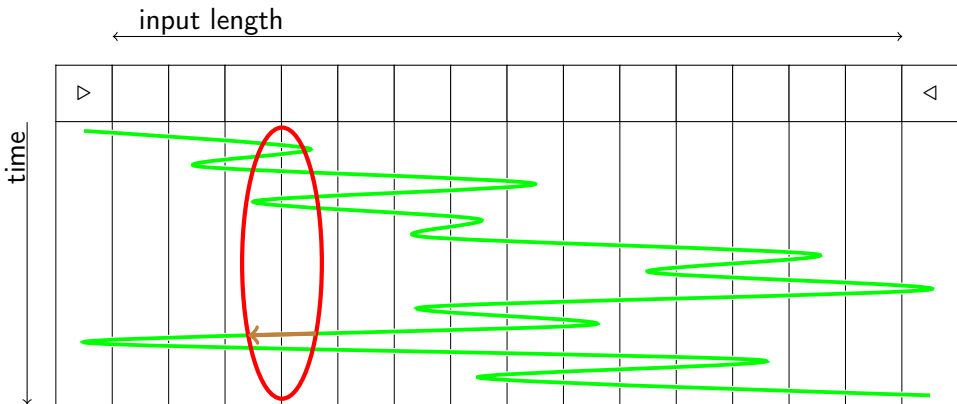
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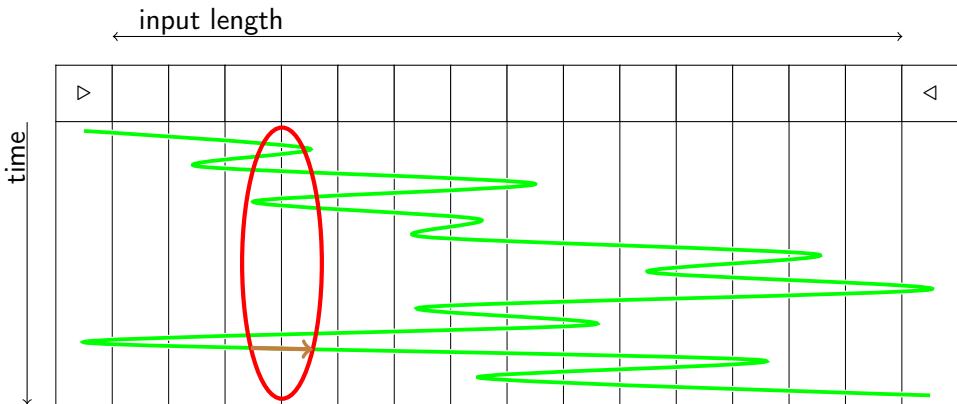
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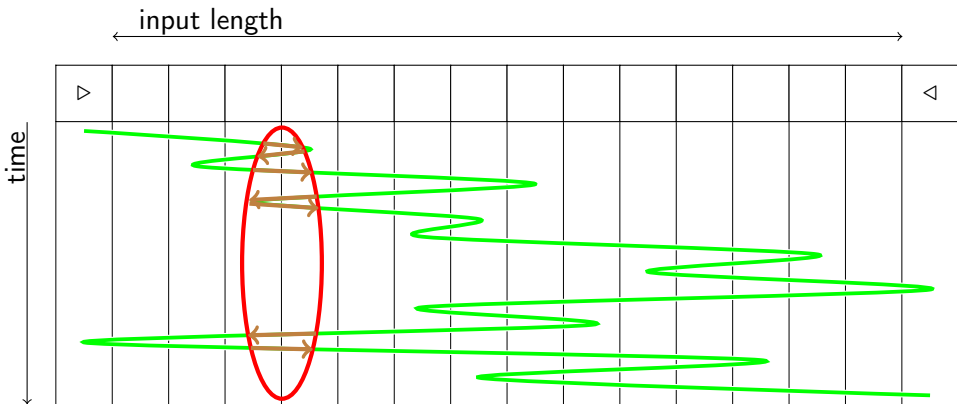
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■ Examples:

- ▶ $\text{UIDENTITY} = \{(a^n, a^n) \mid n \in \mathbb{N}\} \in \text{RAT}$
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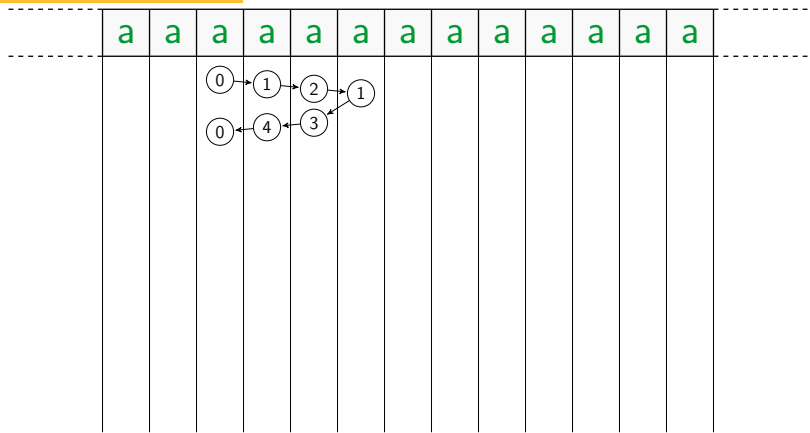
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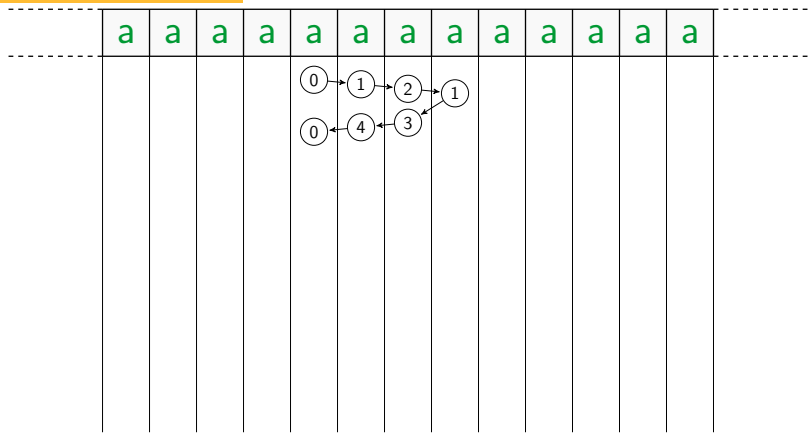
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 - ▶ commutative outputs
 - ▶ deal with *central loops*
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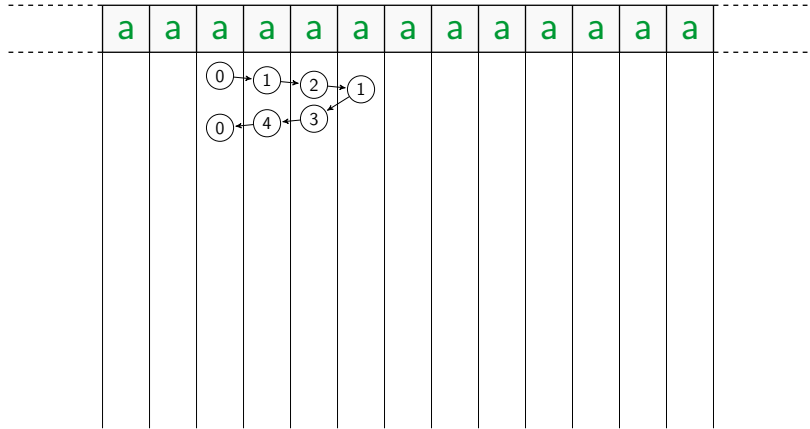
Unary central loops



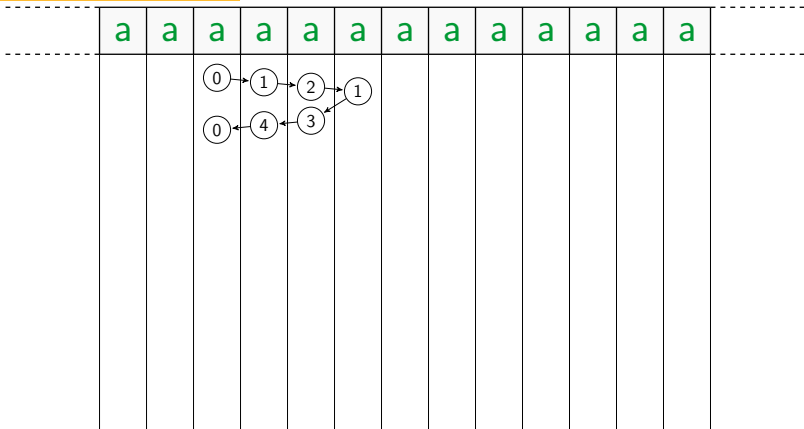
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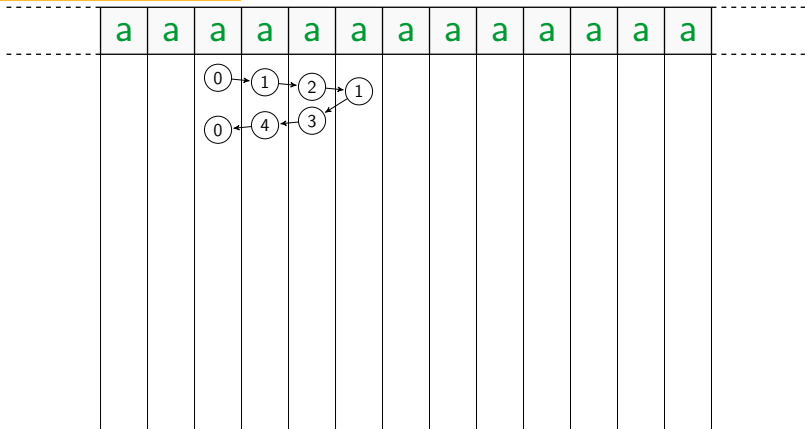


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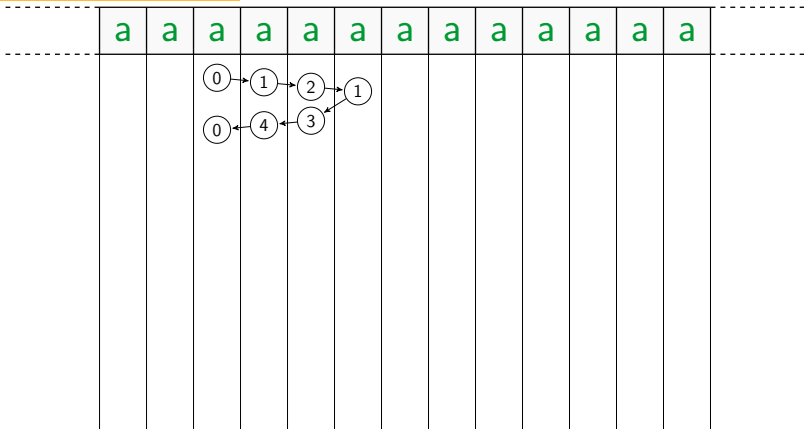
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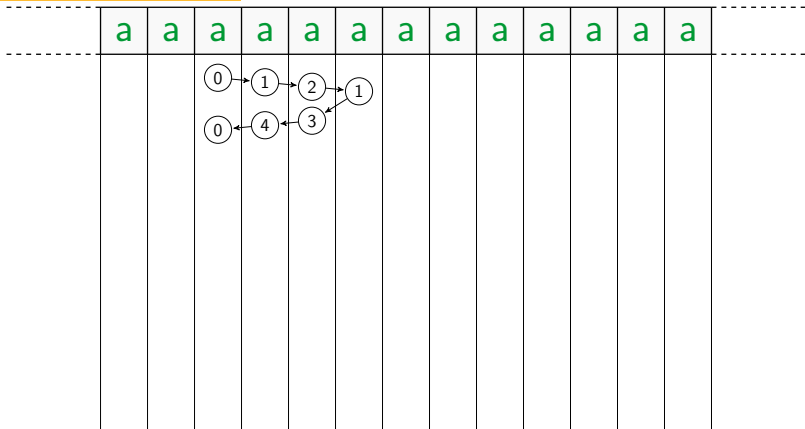
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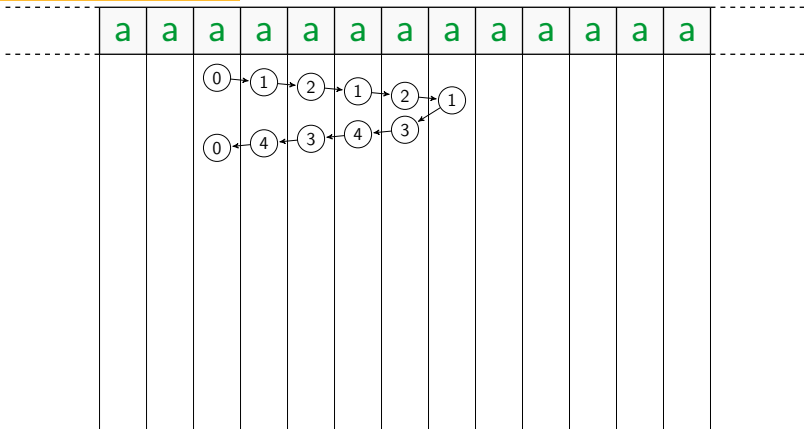
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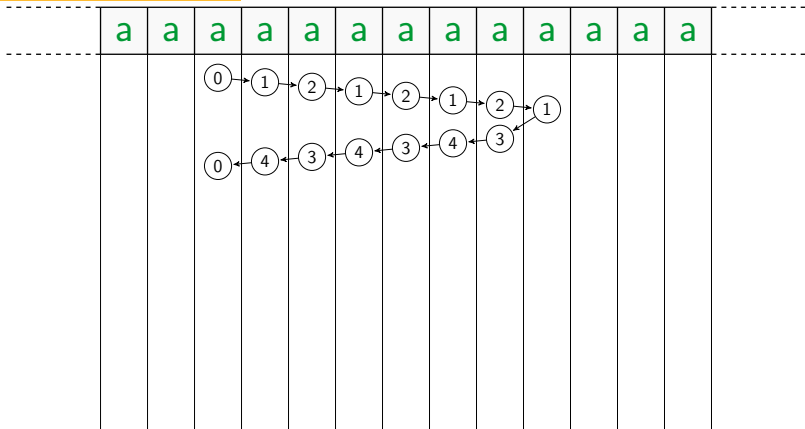
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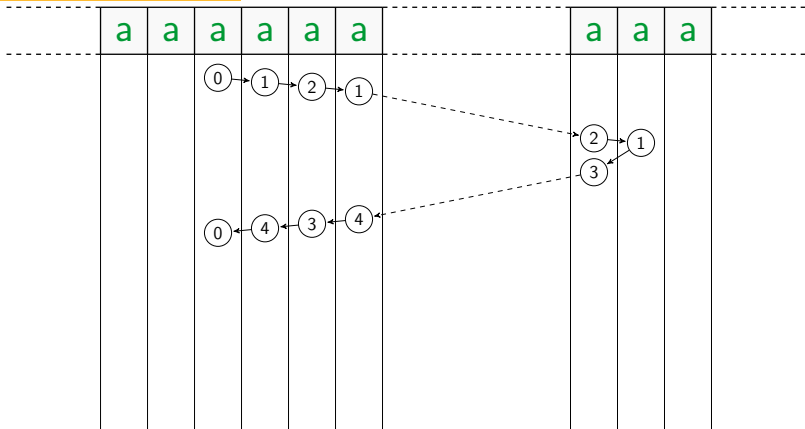
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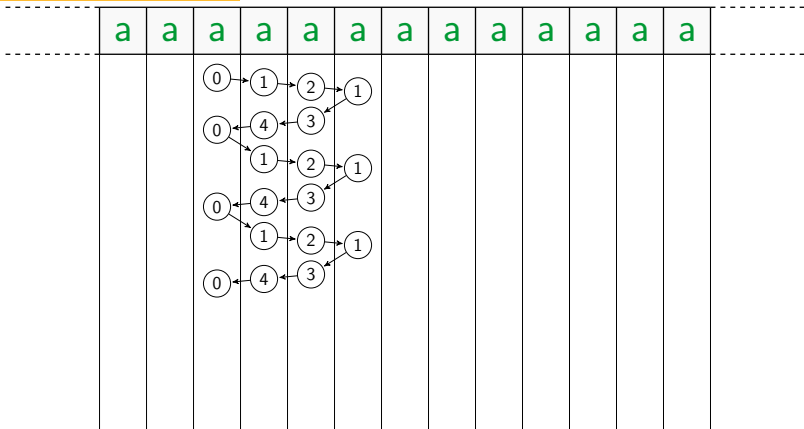
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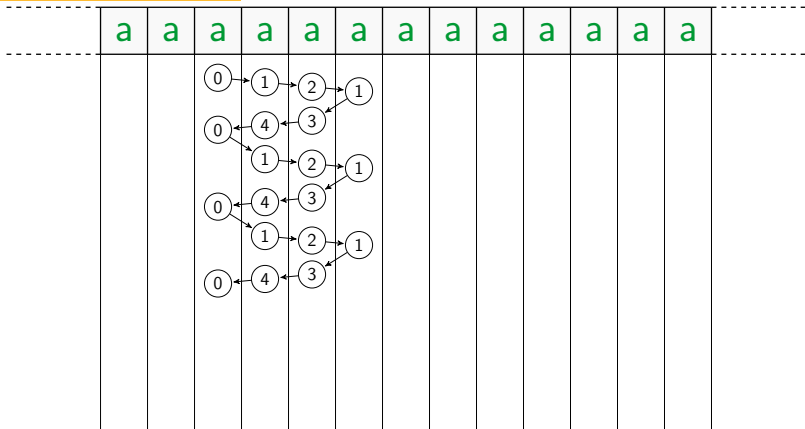
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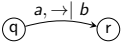
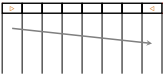
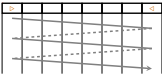
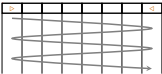
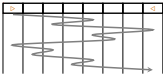
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- ▶ **Open problem:** bound N ?

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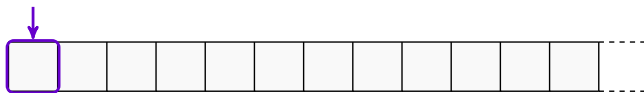
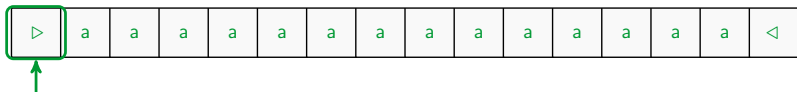
Theorem: [G.'16]

When $\#\Sigma > 1$, $\text{HAD} \subset 2\text{NFT}$

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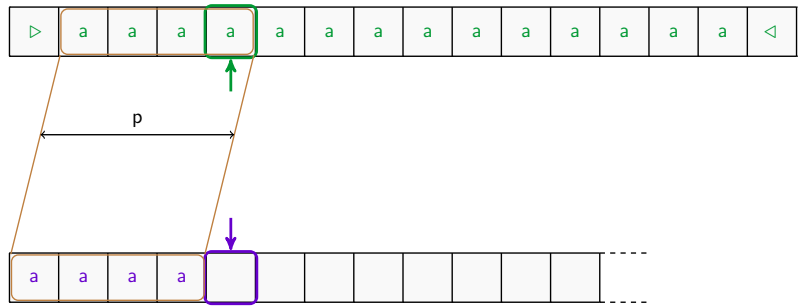
Input-unary witness

$$\text{RLP}_{\text{PREFIX}} = \{(a^n, a^m b^m) \mid n, m \in \mathbb{N}, m \leq n\}$$



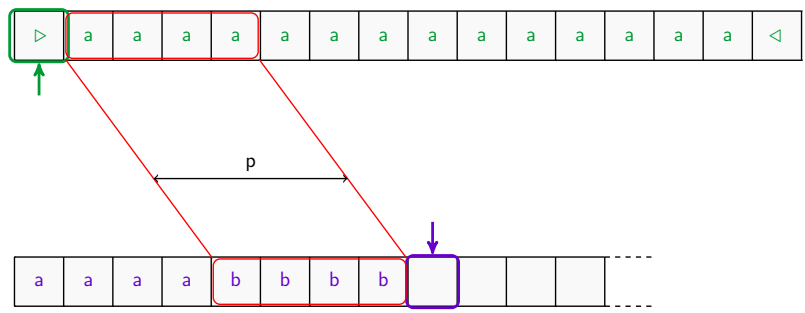
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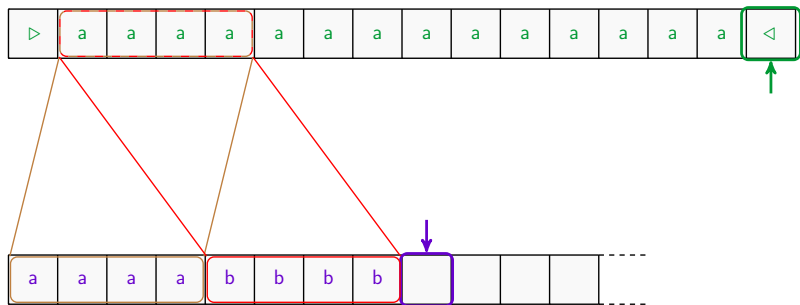
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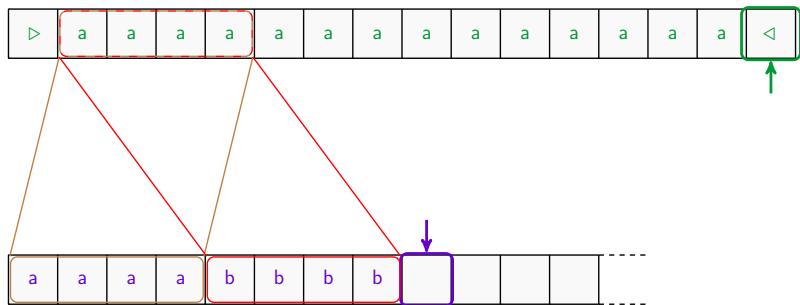
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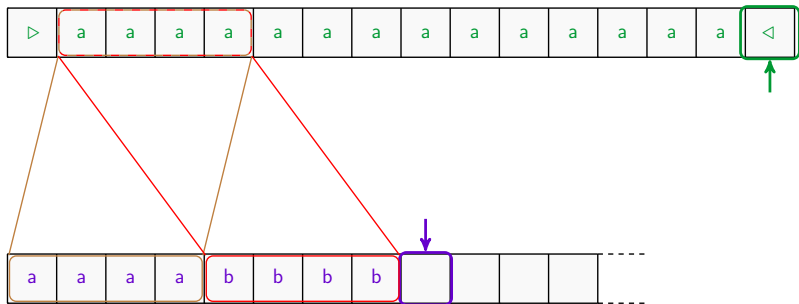
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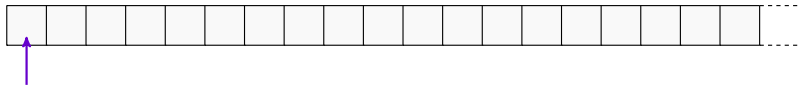
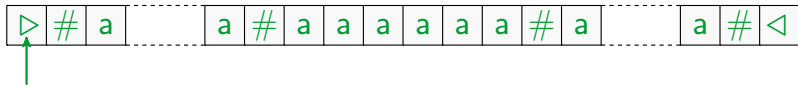


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- Remark: HAD is not closed under componentwise concatenation

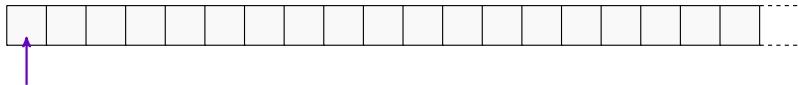
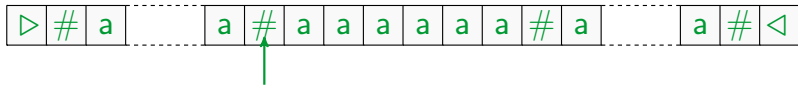
Output-unary witness

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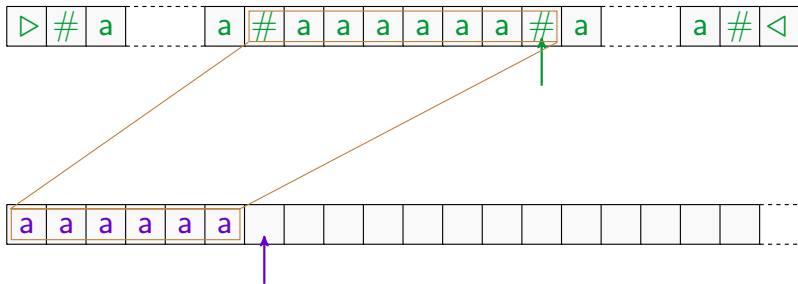
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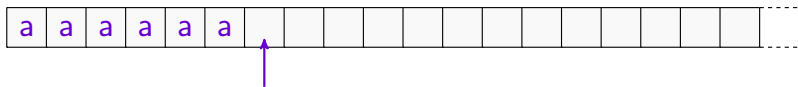
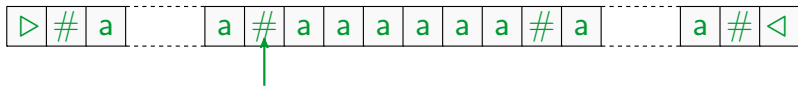
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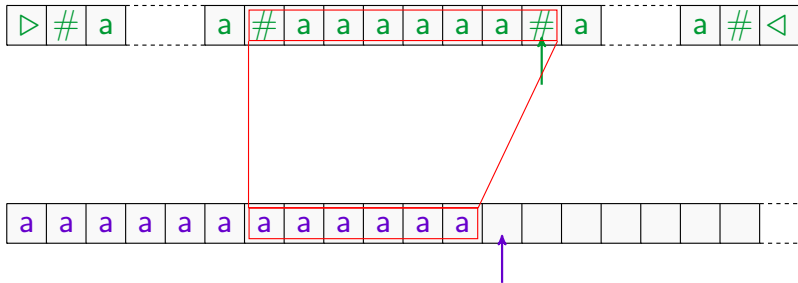
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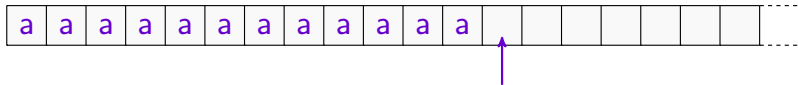
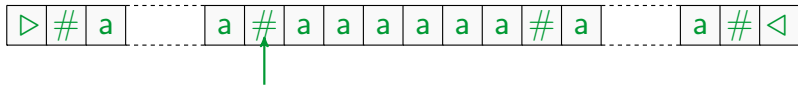
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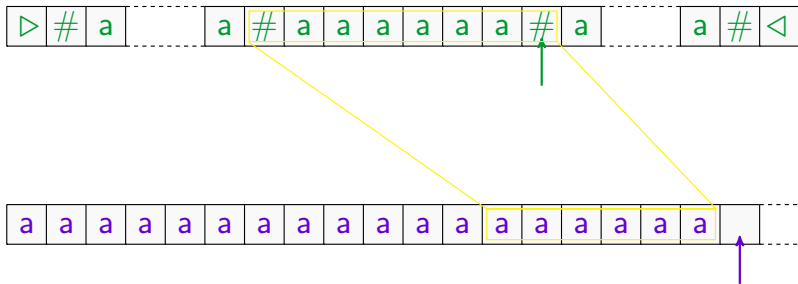
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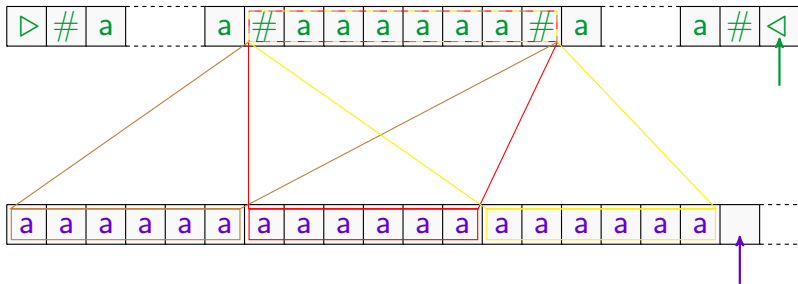
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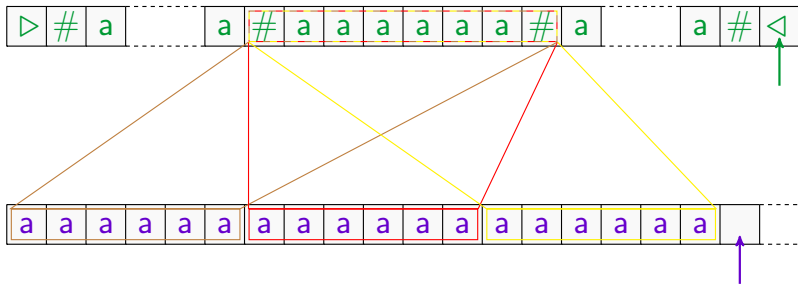
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- Remark: HAD is not closed under componentwise concatenation

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Proposition: 2NFT is not closed under componentwise concatenation and Kleene star

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— Remark: these operations are used in the characterization of regular functions through regular combinators

[Alur *et al.*'14, Baudru&Reynier'18, Dave *et al.*'18]

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— Remark: these operations are used in the characterization of regular functions through regular combinators

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but they are still not sufficient for the non-functional case

Conclusion

transducer	one-way	rotating	sweeping	two-way
general	RAT	HAD	MHAD	?
input unary				?
output unary				?
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Thank you